

ONLINE ACCOUNT OPENING

USER GUIDE | Version 1



Before we start, please be informed of the following:

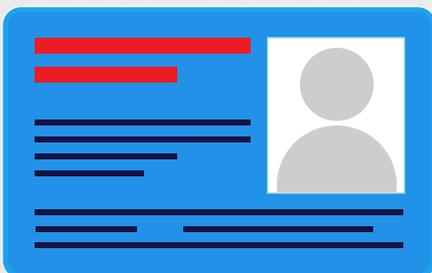
CURRENTLY, THIS ONLINE ACCOUNT OPENING CATERS TO :

- Individual account opening (without joint holder).
- Non U.S person account opening.

GET READY YOUR NRIC

You will need to upload an image of the front and back of your NRIC in .jpg, .jpeg or .png file format (max 5MB each).

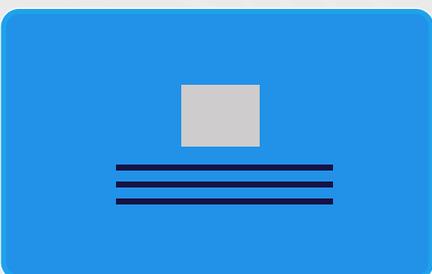
Example



Front Side

Max 5MB, .jpg, .jpeg, .png

[Browse](#)



Back Side

Max 5MB, .jpg, .jpeg, .png

[Browse](#)



1 Fill in your details.

2 Click 'Verify Phone'

RM0 HLAM: Your OTP for onboarding is xxxxxxxx on 12/10/2023 3:55:15 PM will expire in 5 mins. Did not request? Call: [03-20818600](tel:03-20818600)

You will receive an SMS with the OTP.

Note: Kindly ensure that the number 62000 is not blocked. For assistance, call Customer Experience: +603-2081 8600.



404801
OTP Code Expires In : 4:47

VALIDATE OTP

Choose your account

Unit Trust

Name *
as per NRIC
LOREM IPSUM

NRIC No. *
Please omit '-' and spaces

Mobile No. *
Please omit '-' and spaces
60

VERIFY PHONE

If you have a servicing Unit Trust Consultant (UTC), please fill in the below details:

UTC Code
(If applicable)
2233

UTC Name
(If applicable)
LOREM IPSUM

Next: Complete sign up in 4 easy steps:

1 Applicant Information 2 Financial Information 3 Suitability Assessment Questionnaire 4 Declaration

START

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3 Key in the OTP and click **'Validate OTP'**

Online Account Opening

Please follow the steps below to complete your account opening application.

Choose your account

Unit Trust

Name *
as per NRIC
LOREM IPSUM

NRIC No. *
Please omit '-' and spaces

Mobile No. *
Please omit '-' and spaces
60

VERIFIED

If you have a servicing Unit Trust Consultant (UTC), please fill in the below details:

UTC Code
(If applicable)
2233

UTC Name
(If applicable)
LOREM IPSUM

Next: Complete sign up in 4 easy steps:

1 Applicant Information 2 Financial Information 3 Suitability Assessment Questionnaire 4 Declaration

START

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You are now verified.

4 Do you have a servicing Unit Trust Consultant (UTC)?

If YES, fill in details here.

If NO, proceed to click on **'Start'**



HongLeong Asset Management

1 Applicant Information 2 Financial Information 3 Suitability Assessment Questionnaire 4 Declaration

Applicant Information

Salutation/Tittle * MS

Name * LOREM IPSUM

NRIC No. * Please omit '/' and space

Email * LOREM@GMAIL.COM

Date of Birth * 25 11 1984

Gender * Male Female

Nationality * MALAYSIA Race * CHINESE

Note: By continuing, you declare that you are non U.S. Person and not born in the U.S.

Mother's Maiden Name * GOH

Correspondence Address * 1231 JLN BAHAGIA

ADDRESS 2

ADDRESS 3

ADDRESS 4

City * KUALA LUMPUR Postal Code * 59100

Country * MALAYSIA State * KUALA LUMPUR

Residential Address Same As Correspondence Address Yes No

Residential Address * 1231 JLN BAHAGIA

City * KUALA LUMPUR Postal Code * 59100

Country * MALAYSIA State * KUALA LUMPUR

Permanent Address Same As Correspondence Address Yes No

Permanent Address * 1231 JLN BAHAGIA

City * KUALA LUMPUR Postal Code * 59100

Country * MALAYSIA State * KUALA LUMPUR

Telephone No. (Home) * Please omit '/' and space

Telephone No. (Office) * Please omit '/' and space

Mobile No. * 60

Investment Purpose * EDUCATION

Are You A Malaysian Tax Resident: MALAYSIA TAX RESIDENT

No	Country of Tax Residence	Tax Payer Identification No. (TIN)	Please specify reason*, if TIN not available.
1	[SELECT ONE]		[SELECT ONE]
2	[SELECT ONE]		[SELECT ONE]
3	[SELECT ONE]		[SELECT ONE]

For Reason 3, please explain why TIN cannot be provided

1

2

3

NEXT

5

Fill in your details in **Applicant Information.**

6

Click on **'Next'**



7 Fill in your details on **Financial Information**.

HongLeong Asset Management

1 Applicant Information 2 **Financial Information** 3 Suitability Assessment Questionnaire 4 Declaration

Financial Information

Employment Status* EMPLOYED

Source of Income/Wealth* EMPLOYMENT

Name of Employer/Company LOREM IPSUM BHD

Company Reg No. 12312323

Nature of Business/Sector EDUCATION

Occupation* COMPANY DIRECTOR / CHIEF EXECUTIVE

Annual Personal Income* ABOVE RM300,000

Estimated Net Worth* BELOW RM 3 MILLION

Bank Name* HONG LEONG BANK BERHAD

Bank A/C Name* LOREM IPSUM

Bank A/C No.* 123123

Distribution Instruction Reinvest Pay to bank account as stated above

BACK NEXT

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8 Click on **'Next'**



1 Applicant Information **2** Financial Information **3** Suitability Assessment Questionnaire **4** Declaration

Suitability Assessment Questionnaire

Note

1. What is your age? b. 45 to 59

2. How many dependents do you have to provide for? (including yourself) b. 2

3. What is the estimated percentage of your monthly expenses to your income? b. About 3/4

4. How long could you maintain your current lifestyle if you stop working today? d. More than 24 months

Section B : Suitability Assessment

5. When comes to investing, I want... d. To take a large amount of risk in order to achieve potential high capital growth

6. At this moment, you'd probably have placed most of your funds in: d. Stocks / Options / Futures

7. Investments generally take time to grow. How long do you want to invest your money for? c. 3 to 5 years

8. Most investments will fluctuate over time. In a period of 3 years, what are the returns/losses that you are most comfortable with given investments of RM 100K? d. Best Case:140% Average:17.5% Worse Case:-60%

9. Market generally will experience downturns, both short-term and prolonged, followed by market recoveries. What would you do if supposedly you have a well diversified investment portfolio (which is not meant for use until another 10 years, but due to the market downturn, it experiences 15% losses)? d. I will invest more in this portfolio

10. When it comes to investing, the following statement would generally describe you: d. I am very experienced in investments (I am very familiar with most investment pro

My Risk Profile is: MODERATE

Disclaimer for Suitability Assessment

We do not guarantee any returns as projected in any financial analysis. If you make any investment decision based on any financial analysis or opinion, you accept all risks or losses arising there from and will not hold Hong Leong Asset Management Bhd [Company No. 199401033024 (318717-M)], its officers, employees or representatives in any way liable.

All Information will be treated with strictest confidence.

Investor's Acknowledgement

I agree with the result of this Suitability Assessment & would like to accept any investment suggestions.

I do not agree with the outcome of this Suitability Assessment & would like to invest in the funds of my own choice.

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Complete the **Suitability Assessment Questionnaire**.

10

Tick the checkbox to agree/disagree

11

Click **'Next'**

1 Applicant Information **2** Financial Information **3** Suitability Assessment Questionnaire **4** Declaration

Declaration

The Applicant hereby declares, confirms and agrees:-

(a) that the information provided herein is accurate, complete and true and that the Applicant has not withheld any information which is material to the Applicant's application or could result in HLAM rejecting the Applicant's application;

(b) to be bound by the Application T&C, Term of Use & Disclaimers, and HLAM's Privacy Policy, including any amendments, variations and/or modifications thereof;

(c) that the Applicant is aware of the fees and charges that the Applicant will incur, both directly and indirectly, when investing in the relevant fund;

(d) that the Applicant has read the unit trust loan Financing Risk Disclosure Statement and understands its contents in Application T&C (if applicable);

(e) that the information provided in the Suitability Assessment, Foreign Account Tax Compliance Act Declaration and Common Reporting Standard Declaration contained herein are accurate, complete and true and that the Applicant will immediately notify HLAM if there is any change in the information;

(f) for Communications to be made by HLAM to the Applicant via Electronic Communication;

(g) for the purposes of investments in Wholesale funds, the Applicant is a Sophisticated Investor (if applicable).

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Tick the checkbox to confirm and agree.

13

Click **'Next'**



- 1 Applicant Information
- 2 Financial Information
- 3 Suitability Assessment Questionnaire
- 4 Declaration

Kindly Review Your Information

Applicant Information

EDIT

Salutation/Title *

Name *

NRIC No. *

Email *

Date of Birth *

Gender * Male Female

Nationality * Race *

Note: By continuing, you declare that you are not U.S. Person and not born in the U.S.

Mother's Maiden Name *

Correspondence Address *

City * Postal Code *

Country * State *

Residential Address Same As Correspondence Address Yes No

Residential Address *

City * Postal Code *

Country * State *

Permanent Address Same As Correspondence Address Yes No

Permanent Address *

City * Postal Code *

Country * State *

Telephone No. (Home)

Telephone No. (Office)

Mobile No. *

Investment Purpose *

Are You A Malaysian Tax Resident: MALAYSIA TAX RESIDENT

No	Country of Tax Residence	Tax Payer Identification No. (TIN)	Please specify reason, if TIN not available
1	<input type="text" value="[SELECT ONE]"/>	<input type="text"/>	<input type="text" value="[SELECT ONE]"/>
2	<input type="text" value="[SELECT ONE]"/>	<input type="text"/>	<input type="text" value="[SELECT ONE]"/>
3	<input type="text" value="[SELECT ONE]"/>	<input type="text"/>	<input type="text" value="[SELECT ONE]"/>

No

For Reason 3, please explain why TIN cannot be provided

1

2

3

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Review your details.

Financial Information

EDIT

Employment Status *

Source of Income/Wealth *

Name of Employer/Company

Company Reg No.

Nature of Business/Sector

Occupation *

Annual Personal Income *

Estimated Net Worth *

Bank Name *

Bank A/C Name *

Bank A/C No. *

Distribution Instruction Retiree Pay to bank account as stated above

Suitability Assessment Questionnaire

EDIT

Section A: Personal Financial Stress Test

1. What is your age?

2. How many dependents do you have to provide for? (including yourself)

3. What is the estimated percentage of your monthly expenses to your income?

4. How long could you maintain your current lifestyle if you stop working today?

Section B: Suitability Assessment

5. When comes to investing, I want...

6. At the moment, you'd probably have placed most of your funds in:

7. Investments generally take time to grow. How long do you want to invest your money for?

8. Most investments will fluctuate over time. In a period of 3 years, what are the returns/losses that you are most comfortable with given investments of RM 100K?

9. Market generally will experience downturns, both short-term and prolonged, followed by market recoveries. What would you do if supposedly you have a well diversified investment portfolio which is not meant for use until another 10 years, but due to the market downturn, it experiences 15% losses?

10. When it comes to investing, the following statement would generally describe you:

My Risk Profile is:

Investor's Acknowledgement I agree with the result of this Suitability Assessment & would like to accept any investment suggestions. I do not agree with the outcome of this Suitability Assessment & would like to invest in the funds of my own choice.

Declaration

EDIT

The Applicant hereby declares, confirms and agrees-

(a) that the information provided herein is accurate, complete and true and that the Applicant has not withheld any information which is material to the Applicant's application or could result in HULMI regarding the Applicant's application;

(b) to be bound by the Application T&C, Term of Use & Disclaimers and HULMI's Privacy Policy, including any amendments, variations and/or modifications thereto;

(c) that the Applicant is aware of the fees and charges that the Applicant will incur both directly and indirectly when investing in the relevant fund;

(d) that the Applicant has read the unit trust loan Financing Risk Disclosure Statement and understands its contents in Application T&C (if applicable);

(e) that the information provided in the Suitability Assessment, Foreign Account Tax Compliance Act Declaration, and Common Reporting Standards Declaration contained herein are accurate, complete and true and that the Applicant will immediately notify HULMI if there is any change in the information;

(f) for Communications to be made by HULMI to the Applicant via Electronic Communication;

(g) for the purposes of investments in wholesale funds, the Applicant is a Sophisticated Investor (if applicable).

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If all details are correct, click 'Next'



16 Upload NRIC (front & back).

The screenshot shows the HongLeong Asset Management interface. At the top, there is a header with the logo and the text "HongLeong Asset Management". Below the header, a message reads: "Please upload your NRIC to complete HLAM Online Account Opening." The form contains two sections: "Front IC" and "Back IC". Each section specifies the file format as "JPEG, JPG or PNG" and the upload size as "5MB". Below each section is a red button labeled "UPLOAD FRONT NRIC" and "UPLOAD BACK NRIC" respectively. At the bottom of the form is a red button labeled "SUBMIT". Red lines with dots at the end point from the text "16 Upload NRIC (front & back)." to the "UPLOAD FRONT NRIC" and "UPLOAD BACK NRIC" buttons, and from "17 Click 'Submit'" to the "SUBMIT" button.

17 Click 'Submit'

18 Click 'here' to download PDF (optional)

The screenshot shows the HongLeong Asset Management interface. At the top, there is a header with the logo and the text "HongLeong Asset Management". Below the header, the date "Date : 12/10/2023" is displayed. A large red heading reads "Thank You!". Below this, a message states: "We have received your account opening application. Application reference number : 6". At the bottom, there is a link: "Click [here](#) to print your application form. Our Customer Experience will contact you soon." A red line with a dot at the end points from the text "18 Click 'here' to download PDF (optional)" to the "here" link.

Your digital copy of account opening form.

 **HongLeong** Asset Management

1. APPLICANT INFORMATION

Salutation / Title: MS

Name (as per NRIC / Passport): LOREM IPSUM

NRIC Number: _____ Old IC No / Passport No: _____ Gender: Male Female

Date of Birth (dd/mm/yyyy): 25/11/1984 Nationality: MALAYSIA Race: CHINESE

Mother's Maiden Name: GOH

Correspondence Address: 1231 JLN BAHAGIA

City: KUALA LUMPUR Postcode: 59100

State: KUALA LUMPUR Country: MALAYSIA

Residential Address: 1231 JLN BAHAGIA

City: KUALA LUMPUR Postcode: 59100

State: KUALA LUMPUR Country: MALAYSIA

Permanent Address: 1231 JLN BAHAGIA

City: KUALA LUMPUR Postcode: 59100

State: KUALA LUMPUR Country: MALAYSIA

Email Address: LOREM@GMIAL.COM

Mobile No: _____ Telephone No. (Home): _____ (Office): _____

Investment Purpose: Education Retirement Investment Saving

Others (Please Specify) _____

2. FINANCIAL INFORMATION

Employment Status: Employed Self-Employed Unemployed

Source of Income / Wealth: Employment Business Savings / Inheritance

Others (Please Specify) _____

Name of Employer / Company: LOREM IPSUM BHD

Company Registration Number : 12312323

Nature of Business / Sector: EDUCATION

Occupation: COMPANY DIRECTOR / CHIEF EXECUTIVE

Annual Personal Income: Below RM50,000 RM50,000 – RM100,000 RM100,001-RM150,000

RM150,001 – RM300,000 Above RM300,000

Estimated Net Worth (excluding residential home): Below RM 3 million RM 3 million and above

Bank Name: HONG LEONG BANK BERHAD

Bank Account Name: LOREM IPSUM

Bank Account Number: 123123

Distribution Instruction: Reinvest Pay to bank account as specified in Banking Details